



Express Mail No.: EV335857389US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Mauro et al.

Serial No.: 09/292,552

Group Art Unit: 3623

Filed: April 15, 1999

Examiner: Jeanty, Romain

For: COMPUTER TRADING SYSTEM,  
METHOD, AND INTERFACE

Attorney Docket No.: 9628-006

**SUPPLEMENTAL RESPONSE RE RULE 131 DECLARATION**

Assistant Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the final Office Action mailed April 9, 2003, Applicants submitted an RCE with Amendment on October 9, 2003. That Amendment included a Rule 131 Declaration signed by two of the three inventors; the third inventor's signature had not yet been obtained due to his absence from the country. That signature has now been obtained and the signature page is enclosed.

No fee is believed due with this Supplemental Response. However, if fees are due, please charge such fees to Pennie & Edmonds LLP's Deposit Account No. 16-1150.

Respectfully submitted,

Date: October 13, 2003

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9. The display depicted on slide 64 is updated on a real-time basis (that is, updated each time the data changes – see the code in Exhibit 1 and the comment at the bottom of the slide), and at least some data transmitted to the user is user-dependent (see, for example, “Your sell orders” at the upper right of the display, and “Your stks. +87” in the lower left of the display).

10. Minor changes and enhancements were made to the invention from the time of conception to the time the present application was filed, but the basic components and method of operation remained the same as described and claimed in the application from prior to October 1998 until filing.

11. We further state that the above statements are made with the knowledge that willful false statements are punishable by fine and/or imprisonment, under 18 U.S.C. § 1001, and that any such willful false statement may jeopardize the validity or enforceability of patents issuing from this application.

Date \_\_\_\_\_

Date Oct 9, 2003

Date \_\_\_\_\_

Charles L. Mauro

Andrew D. Klein

Walter D. Buist